



PTO/SB/21 (10-07)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/966,123

Filing Date

September 28, 2001

First Named Inventor

Colin C. Chen

Art Unit

1772

Examiner Name

J.J. Rhee

Attorney Docket Number

1-18005

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/
Incomplete Application☐Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☒Power of Attorney, Revocation
Change of Correspondence Address☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify
below):

Return Postcard

Statement Under 3.73(b) and copies of
Assignments**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

MARSHALL & MELHORN, LLC

Signature

Printed name

Stephen P. Evans

Date

10/3/07

Reg. No.

47,281

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Roberta A. Winzeler

Date

10-3/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	09/966,123
	Filing Date	09-28-2001
	First Named Inventor	COLIN C. CHEN
	Art Unit	1772
	Examiner Name	J.J. RHEE
	Attorney Docket Number	1-18005

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 0068459

☐ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

0068459

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>M. Jean Haroman</i>		
Name	M. JEAN HAROMAN		
Date	X 10-3-07	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (09-08)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: DANA CORPORATIONApplication No./Patent No.: 09/966,123Filed/Issue Date: SEPTEMBER 28, 2001Entitled: INSULATED HEAT SHIELD WITH WAVED EDGEDANA CORPORATION

(Name of Assignee)

a CORPORATION OF THE STATE OF VIRGINIA

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

M. Jean Hardman

Signature

M. JEAN HARDMAN

Printed or Typed Name

ASSISTANT SECRETARY

Title

10-3-07

Date

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Colin C. Chen, Frank W. Popielas, and Calin Matias, residing, respectively, at 5020 N. Tamarack Drive, Barrington, IL 60010, at 1470 Fairway Drive, #202, Naperville, IL 60563, and at 1669 Jalna Blvd., #505, London, Canada, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: INSULATED HEAT SHIELD WITH WAVED EDGE

Filing Date: 09/28/2001 Application No.: 09/966,123; and


WHEREAS, **Dana Corporation**, located at 4500 Dorr Street, Toledo, Ohio 43615, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by the Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

Date: 11/14/01

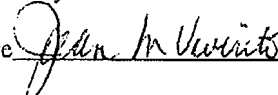

Colin C. Chen

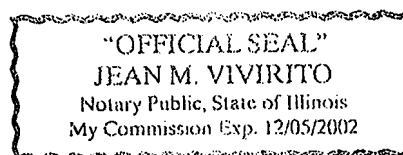
STATE OF Illinois

COUNTY OF DUPAGE

On 11/14/01, before me, JEAN M. VIVIRITO Notary Public, personally appeared Colin C. Chen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature  (Seal)



Date: 11/14/01 ~~9/1/02~~
9/1/03/02

Frank W. Popielas
Frank W. Popielas

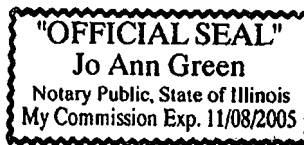
STATE OF Illinois

COUNTY OF DuPage JO ANN GREEN

On 01/03/2002, before me, JO ANN GREEN, Notary Public, personally appeared Frank W. Popielas, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature [Signature] (Seal)



Date: Nov 21, 2001

Calin Matias
Calin Matias

Signed in our presence and acknowledged to be an assignment of the application (invention) hereinabove referred to

Nov 21, 2001
Date

[Signature]
Witness

Nov 23, 2001
Date

[Signature]
Witness

CO003203

Assignment	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Petition, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: <u>9/21/05</u>	Signature: <u>Kathryn L. Nash</u> (Kathryn L. Nash)

ASSIGNMENT BY INVENTOR

THIS ASSIGNMENT, made this 9th day of September, 2005, by
Viorel Stefan (hereinafter referred to as Assignor), residing at 595 Proudfoot Lane #1209,
London, Ontario, Canada N6H 4S1; and;

WHEREAS, Assignor, along with others, has invented certain new and useful
improvements in INSULATED HEAT SHIELD WITH WAVED EDGE, set forth in a Patent
of the United States, already issued as U.S. Patent No. 6,797,402 September 28, 2004; and

WHEREAS, DANA CORPORATION, a Corporation organized under and
pursuant to the laws of Ohio having its principal place of business at 4500 Dorr Street, Toledo,
Ohio 43697 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title
and interest in and to said inventions and said Application for Letters Patent of the United
States, and in and to any Letters Patent of the United States to be obtained therefore and
thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and
sufficient consideration, the receipt of which is hereby acknowledged, Assignor has sold,
assigned, transferred and set over, and by these presents do sell, assign, transfer and set over,
unto Assignee, its successors, legal representatives and assigns, the entire right, title and
interest in and to the above-mentioned inventions and application for Letters Patent, and in and
to any and all direct and indirect divisions, continuations and continuations-in-part of said
application, and any and all Letters Patent in the United States and all foreign countries which
may be granted therefore and thereon, and reissues, reexaminations and extensions of said
Letters Patent, and all rights under the International Convention for the Protection of Industrial
Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use
and benefit of its successors, legal representatives and assigns, to the full end of the term or
terms for which Letters Patent may be granted and/or extended, as fully and entirely as the
same would have been held and enjoyed by Assignor, had this sale and assignment not been
made.

AND for the same consideration, Assignor hereby represents and warrants to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignor hereby covenants and agrees to and with Assignee, its successors, legal representatives and assigns, that Assignor will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignor hereby requests the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignor hereby grants the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

RADER, FISHMAN & GRAUER PLLC

All practitioners at Customer Number 10291 (listed here):

Robert M. Leonardi 27,815 Phillip A. Rotman, II 38,290 Kristene Ragan 48,611

AND Assignor acknowledges an obligation of assignment of this invention to Assignee at the time the invention was made.

Date: SEPTEMBER 9, 2005


Viorel Stefan

Witness:

September 9/05.
Date

Kellis, CMA.
Katherine Ellis, CMA.